# Innovation in Eskaleut dependent moods

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In Eskaleut languages, dependent clauses are a greater source of morphological and structural innovation than independent clauses are, contra claims that they are more conservative. Evidence for this comes from a comparison of the system of verb moods within the language family. Dependency in Eskaleut clauses is indicated by verbal mood and person inflection. The term 'mood' covers several functions connected with clause chaining: independent moods indicate speech act, and dependent moods allow different temporal and adverbial combinations and various types of dependence (embedded clauses, appositional clauses, etc.). Independent and dependent moods have different sets of person inflections, making the identification of dependency relatively straightforward. Across the languages, most innovative moods originate from dependent structures, and those that do not still result in dependent structures. These developments are partially explained as a result of usage and pragmatics: dependent clauses are statistically many times more frequent than independent clauses, most innovations allow finer distinctions in tense-aspect-modality, and dependent structures also result from sociolinguistic preferences such as indirectness.

KEYWORDS: Eskaleut languages, dependent clauses, linguistic innovation, morphological dependency, clause chaining.

# 1. Introduction

It has been argued that independent clauses, and more specifically, main clauses, tend to be innovative, whereas dependent or subordinate clauses may be more conservative (see section 2).<sup>1</sup> In Eskaleut (henceforth EA) languages (see Figures 1-2), however, it is the reverse, and in this paper, I show that the more important locus of innovation is the system of dependent clauses. Following Berge (2016a), I assume that a clause is syntactically dependent if it cannot stand alone but must modify or serve as a component of another clause. A clause is also considered semantically dependent if 'it loses the illocutionary force that it would normally have if uttered on its own as a sentence' (Huddleston 1999: 337). In EA, verbs are headed by an inflectional category called 'mood', which has multiple functions: the independent moods indicate illocutionary force, whereas the dependent moods signal different clause combinatory types.

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Mood inflection interacts closely with (pronominal) person inflection, and both together are predictable indicators of dependency. Because of the link between mood/person inflection and dependency, as well as the relatively free word order in both dependent and independent clauses, I discuss conservatism and innovation specifically in relation to morphological conservatism.

There are three categories of EA moods: (i) the inherited moods, which include one independent mood and several dependent moods and share cognate inflectional morphemes; (ii) Pan-EA moods that were incipient in the proto-language but developed differently in the respective languages, with non-cognate mood morphemes, and (iii) dependent moods that developed after the major language family splits and are specific to each language group. The association between dependency and innovation in EA is a function of its clause combination strategies, the frequency of dependent clauses in EA discourse, the importance of verbal constructions to EA information structure, a pragmatic need for making finer distinctions in the expression of causal, temporal, or aspectual relationships between clauses, and sociolinguistic factors such as a preference for indirectness. These factors have led to more morphological conservatism in the independent structures, and to more expressive creativity in the dependent structures. In section 2, I summarize some previous and important typological studies of other languages suggesting that dependent clauses are more conservative, and some suggested motivations for this conservatism. In section 3, I summarize typological characteristics of the EA language family relevant to dependent clauses, particularly the verb moods and their interactions with pronominal inflection. In section 4, I present the innovative moods, and in section 5 I discuss possible reasons for the innovation of specifically dependent structures.



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Figure 1. Bering map.



Figure 2. Inuit map.

# 2. The background for viewing subordinate clauses as conservative

From the 1970's, numerous studies of a variety of languages have shown a correlation between conservatism of subordinate clauses and innovation of main clauses with respect to word order and information structure (Vennemann 1975, Givón 1979, Hock 1986), grammaticization (Klein-Andreu 1990), and morphology (Bybee et al. 1994, Matsuda 1998). Subordination broadly refers to adjunct dependent clauses, such as adverbial clauses, and embedded clauses, such as object clauses of transitive verbs. Givón (1979) suggests that the greater level of complexity of subordinate clauses compared with main clauses in German, as well as distributional restrictions on their use may have led to their fixed conservative SOV word order (as opposed to the historically newer SVO order in main clauses). Matsuda (1998) finds some correlation between the conservatism of subordinate clauses in Japanese and discourse-pragmatic and processing factors. For example, backgrounded clauses may not undergo certain structural changes otherwise found in main clauses, and embedded clauses are typically processed more slowly, acquired later, and lost earlier than main clauses (Matsuda 1998: 9-10). Bybee (2002) sees innovation in main clauses as a result of the more complex pragmatic relations they entail (e.g. the indication of focus). Like Matsuda, she argues that processing factors are determinative: subordinate clauses, being pragmatically less complex, are more likely to be processed as larger units, and consequently to result in the maintenance of archaisms that must be memorized.

These observations generally do not hold in EA languages. Neither word order nor information structure are predictably differentiated; thus, dependent clauses have the same range of word order options as independent clauses, they are not necessarily backgrounded, they can introduce new topics, etc. (Berge 2011), and independent clauses can provide background information (Miyaoka 2012: 1327). The most obvious and important differences between dependent and independent clauses are to be found in the morphological requirements of the verb, and most importantly, in the verbal inflection. While there is increased complexity in some inflectional components of dependent clauses, there is also decreased complexity in others. Thus, a single, frequently lexicalized mood morpheme indicating the combinatory relationship of a dependent clause to the main clause may derive from a complex source; for example, the Alutiiq successive -(w/p)ayta- 'and then...' is a lexicalized combination of -(w/p)ay- 'intensifier' and a mood morpheme -ta-. However, the multiple sets of numerous pronominal inflections on an EA verb require vastly greater memorization;

there is more syncretism in the dependent pronominal paradigms than in independent ones, and thus fewer forms to memorize. Further, studies of child language acquisition in Eastern Canadian Inuit suggest that dependent clauses are learned around the same time as independent clauses, and more different pronominal inflections of dependent verbs are learned and used earlier than those of independent verbs (Lee & Allen 2023).

Although structural, pragmatic, and processing factors are likely to play a role in the relative conservatism and innovation of EA clause types, they result not in conservatism, but rather in innovation in dependent clauses. What drives innovation is not dependency per se, but rather the relative frequency and importance of different clause types, and the pragmatic and social need to expand expressive opportunities within a clause chain. The importance of the clause chain in EA languages, and consequently of the statistically much more numerous dependent clauses, cannot be overstated. The structure of clause chains and the nature of dependency are explained in the following section.

# 3. Typology of the EA Language Family

EA is spoken from the Chukotkan Peninsula on the Siberian coast to Greenland. It includes two major branches, formerly known as 'Eskimo' and 'Aleut' (Figure 1). These terms both have unclear origins, they are not universally in use within the respective areas, and they are not ethnonyms. The language family has been known as 'Eskimo-Aleut' or, more recently, 'Eskaleut'. There is a move to replace these with 'Inuit/Yupik/Unangan', although it is neither universally recognized nor adopted. I refer to 'Eskimo' as 'Yupik/Inuit' and to modern Aleut as 'Unangam Tunuu' (adjectival forms are SG 'Unangax' and PL 'Unangan'). Yupik/Inuit has a further subdivision into four Yupik languages, numerous Inuit dialects, and Sirenikski (no longer spoken since 1997), either an early branch of Yupik or the sole representative of a third branch of Yupik/Inuit (in Berge forthcoming-d, I argue for the former). The typological characteristics most relevant to dependency in the language family include clause structure (3.1), verbal mood inflection (3.2), and pronominal inflection on verbs (3.3).



Figure 3. Eskaleut (EA) family tree.

# 3.1 Clause structure

The EA languages are all clause chaining. A typical clause chain consists of a series of dependent adverbial or conjunctive clauses and an independent clause, i.e. the main clause. Each clause is headed by a 'mood', an inflectional morpheme that identifies the role of the clause within the chain. Adverbial clauses are headed by moods establishing time relative to the main clause; for example, the anterior mood indicates that something happened before and is frequently causally related to the action of the main clause. Conjunctive clauses are canonical combinatory clauses, indicating action contemporaneous with or sequential to that of the main clause. Conjunctives in all the languages generally only index one argument and therefore have tended to be associated with subject coreference with the main clause (although the association is imperfect, Berge 2011). The structure of a clause chain is schematized in Figure 4; dependent clauses are not obligatory, and there may be multiple levels of dependency within a complex chain.

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#### Figure 4. Clause Chain.

In the clause chain illustrated in (1), all but the last two clauses are headed by the conjunctive mood; the penultimate is an adverbial clause headed by the anterior mood; and the final clause is headed by the indicative mood, the sole independent mood and speech act of the chain.

(1)	West Greenlandic Inuit (Berge <i>unpublished</i> )							
	i <del>l</del> u-p	qaa-ni	takuq	qusaaʁ	-lu-ŋa			
	house-REL	surface-3C.SG.PC	SS.LOC do.so	methin	g.to.be.seen-CON	IJ-1SG		
	'While I was	'While I was doing something to be seen on top of the house'						
	авра-qattaa	s-lu-ŋa			ииђа			
	run-again.a	nd.again/the.whol	e.time-CONJ-1	SG	up.there			
	'running the	e whole time up th	ere'					
	qani- <del>l</del> i-lu-tik							
	come.near-r	nore-CONJ-3C.PL						
	'while they	came nearer'						
	suli umia-	as-tos-nias-lu-yit						
	still umia	still umiak-over.and.over-use-while-CONJ-3PL						
	'while I was	still shouting 'um	iaq'² over and	over t	o them'			
	innasli-lis-si	aʁ-lu-tik						
	put.it.out.of	.order/destroy-beg	gin-after-CONJ	-3c.pl				
	'they began	to be destroyed/to	o break up'					
	qajaʁ-ta-mir	nut		ałaat	asiвов-ya-тik			
	kayak-perso	kayak-person.who.does-3C.PL.POSS.TERM even be.broken-ANT-3C.PL						
'when even to the kayaker [accompanying them] they were broken'						,		
	ilulia-mina-a	ılu-ŋŋu-it			kisi-isa	taku-a-yut		
	iceberg-piec	e.of-not.much/rat	her-little-ABS.	PL	alone-3PL.ACC	see-ind-1pl/3pl		
	'we saw onl	v the little pieces (	of icebergs '					

Other typological characteristics of EA, also illustrated in (1), include preferred SOV word order, extreme polysynthesis, and agglutinating and almost exclusively suffixing morphology. Yupik/Inuit languages are morphologically ergative-absolutive and include various oblique cases that also indicate different argument roles as necessary.<sup>3</sup> Unangam Tunuu has an anaphoric system that indexes unexpressed objects or possessors on the verb (Bergsland 1997, Berge 2009). The default case on independent arguments is absolutive, and ergative case is only used for subject noun phrases when the direct object or possessor thereof is non-overt. Oblique arguments are headed by postpositions. Ergative case is referred to as 'relative' in the EA linguistic tradition and it has both ergative and genitive functions.

Polysynthesis and clause chaining affect the expression of dependency. For example, embedded clausal objects of elocutionary verbs may be expressed as in (2), via verbal derivation ( $sa\chi$ - 'to tell') and inflection (-a '3SG.SUBJ/3SG.OBJ').<sup>4</sup> I will not address this type of dependency; instead, I focus on the expression of dependency via separate clauses, as with the comitative in (3) or the adverbial modification in (4), both headed by the conjunctive mood (Unangam Tunuu -*lix*, Alutiiq -*lu*-, negative conjunctive -*ykuna*-).

- (2) Sirenikski (Menovshchikov 1964: 78) *utsəmə-sax-təqəx-təu-a*  craft-tell-PRS-IND-3SG/3SG 'he tells him to craft [something]'
- (3) Unangam Tunuu (Berge 2016a: 206) *Paavila-χ* ayiita-lix qa-ku-qiŋ Paul-ABS.SG be.together.with-CONJ eat-IND-1SG 'being together with Paul, I am eating' = 'Paul and I are eating'

(4) Alutiiq (Leer 1990: 101) *Nəʁə-ykuna-ta qul-nək casaar-łu-ta pətkə-łria-kut* eat-NEG.CONJ-1PL ten-ABL.PL hour-CONJ-1PL work-PART-1PL 'We worked for ten hours without eating.'

# 3.2 Verbal mood inflection

The most important element of a clause, the verb, is headed by an elaborate inflectional system that indicates mood, person, number marking, and sometimes polarity. Mood is crucial in structuring clause chains. Independent moods indicate speech act and dependent moods facilitate the indication of temporal and adverbial relationships with the main verb. A simplified representation of the morphology of the verb is given below, showing the location of mood in the verbal structure. Nominalizing affixes can attach to a verb stem in place of the verb mood, turning the verb stem into a noun; they have historically been a source of verb mood morphology.<sup>5</sup>

	ROOT	S	TEM	II	IFLECTION
VERB	verb root –	derivation –	(tense/aspect) -	verb mood –	person/number
NOUN	verb root –	derivation –	(tense/aspect) -	nominalizer –	person/number – case

Figure 5. EA verb and noun morphology.

There are three categories of EA moods: (i) moods inherited from Proto-Eskaleut (PEA), which have remained morphologically and semantically stable across the language family, and include the principle independent mood (the indicative) and dependent moods (the conjunctive, anterior, and conditional); (ii) a set of secondary moods not fully developed in PEA that include the participial, interrogative, and imperative/ optative, that developed differently in the different branches of EA, and that are irregular, historically unstable, and show a mix of dependent and independent characteristics; and (iii) moods specific to the different EA language branches, having developed from the first two sets of moods after the major language family splits. The first two sets of moods are listed in Table 1, and their respective morphologies in Table 2 (the first set, of inherited moods, is indicated in small caps). The 3<sup>rd</sup> set of moods is discussed in section 4.3.

Mood	DEPENDENCE	Speech Act / Function	ROLE IN CLAUSE COMBINATION
INDICATIVE	Independent	Declarations	Main clause in chain
Participial	Variable	Declarations	Object, relative, appositional clauses Main clause in certain contexts
Interrogative	Independent	Questions	
Imperative	Independent	Commands, requests	
Optative	Independent (variable in Unangam Tunuu)	Wishes, requests	Object clause in Unangan verbs of elocution
Conjunctive	Dependent	Contemporaneous or sequential action in relation to main verb, adverbial modification	Combinatory clause in chain Main clause in certain contexts
Anterior	Dependent	Causal / past in relation to main verb	Adverbial clause in chain
CONDITIONAL	Dependent	Irrealis / future in relation to main verb	Adverbial clause in chain

 Table 1. Verb moods and their functions (inherited moods in small caps).

Mood		Unangam Tunuu	Alutiiq	Central Alaskan Yup'ik	Central Siberian Yupik	Sirenikski	Inuit
INDICATIVE	IN	-ku-	-(t)u-	-(y/t)u-	-(y/t)us-	-tə-	W -(y/t)u- E -(v/p)u-
	TR		-(ұ)ак-	-(ұ)а-	-(ү)ав-	-RЭ-	-уав-
Participial	IN	0 / -na- / -qa-	-lʁia-	-lʁia-	-lʁii-	-lərə-	W -(r/t)ua- E -(y/t)u-
	TR		-kə-	-kə-	-kə-	-kə-	-yi- <sup>6</sup>
Interrogative		-lix-	1, 2 -(t)si- 3 -(y/t)ə-	1, 2 -(t)si- 3 -(y/t)a-	1 -stə- 2 -zi- 3 -(y/t)a-	-tə-/-sə-	1, 2 -vi- З <sup>rd</sup> -va-
Imperative		-ða <sup>7</sup>	0, (-yi-)	0, (-үі-)	0	0	0, -yi-
Optative		-VV&-	1 -la- 3 -li	1 -la- 3 -li-	1 -la- 3 -li	- <del>l</del> a-	1 -la- 3 -li-
CONJUNCTIVE		-lix <sup>8</sup>	-lu-	-lu-	-lu-	-lə, - <del>l</del> ə-	-lu-, - <del>l</del> u-
ANTERIOR		-VVŋ-	-ŋа-	-(ŋ)а-	-(j)a-	-(j/-s)a-	-(у/ŋ)а-
CONDITION	AL	-уи-	-ku-	-ku-	-(y)ku-	-qəkə-/ -kəyə-	-уи-

**Table 2.** Verb mood morphemes for the moods listed in Table 1 (data from Bergsland 1997, Leer 1990, Jacobson 1995, 2001, Menovshchikov 1964, Vakhtin 2000, MacLean 1986, Fortescue 1984; dark shading represents respective cognates across rows. Consonants in parentheses are phonologically conditioned on preceding voiced or voiceless phonemes, or absent in some environments). (IN = intransitive; TR = transitive; E = Eastern; W = Western)<sup>9</sup>

# 3.3 Pronominal inflection on verbs

Grammatical person marking is frequently fused with grammatical number, intimately linked with mood, and in many cases an essential indicator of dependence (Berge 2016a). For example, independent and dependent moods take different sets of pronominal inflection, with multiple points of difference, including absolutive *vs* relative marking, the distinction or lack thereof between 3<sup>rd</sup> coreferential (3C) and noncoreferential persons, and the use or lack of special 3<sup>rd</sup> person object morphemes. These are taken up below.

EA pronominal inflection on verbs closely parallels or is identical with either simple number or possessive inflection on nouns. For example, both nouns and verbs mark simple number as follows: SG 0, DU -k,

'your (SG) house'

PL -*t* (allomorph -*n*), and -*ka* (Unangam Tunuu -*ŋ*) is both 1SG absolutive possessor / SG possessum on nouns and 1SG subject inflection on verbs (5). As a result, in the literature, terms applied to nominal inflection, e.g. absolutive and relative, are frequently used in reference to verbal inflection, and I follow this convention. Historically, the parallelism between nominal and verbal morphology is more than accidental (for example, verbal 1<sup>st</sup> and 2<sup>nd</sup> person inflection resulted from the cliticization of independent pronouns, cf. Hammerich 1936, Bergsland 1964, Vakhtin 1980, Fortescue 1984, Berge 2023b).

Alutiiq (Berge <i>forthcoming</i> -a)					
Transitive verb					
taŋʁ-a-n-ka					
see-IND-PL-1SG					
'I see them'					

'I see you'

Pronominal inflection on verbs is hierarchical: 1 > 2 > 3SUB-JECT > 3OBJECT, with differences in how this hierarchy is manifested between verbs with independent and dependent moods. Pronominal inflection is generally ergative-absolutive with 1<sup>st</sup> and 2<sup>nd</sup> persons: intransitive independent verbs have absolutive inflection in slightly altered form (6), and transitive verbs have relative (i.e. ergative) subject inflection, absolutive object inflection, and [[VERB STEM]-SUBJECT-OBJECT] order (7).

(6)	Alutiiq (Berge <i>forthcoming</i> -a) Independent intransitive verb <i>pisus-tu-a(ŋa)</i> hunt-IND-1SG 'I am hunting'	cf. ABS noun (posse əŋlu-qa (q-ka > house-1sG.POSS. 'my house'	ssed) qa) ABS
(7)	Alutiiq (Berge <i>forthcoming</i> -a) Independent transitive verb <i>taŋʁ-a-m-kən</i> see-IND-1SG-2SG	cf. REL noun əŋlu-ma house-1SG.POSS.REL	ABS noun (possessed) əŋlu-y-kən house-SG-2POSS.ABS

3rd person forms do not follow an ergative-absolutive pattern.  $3^{rd}$  person subject inflection of independent intransitive verbs (8a) and  $3^{rd}$  person object inflection of transitive verbs index simple number, e.g. -*k* 'dual' (allomorph -*y*) in (8b). Rules for transitive  $3^{rd}$  person subjects are complex and not relevant here. Independent verbs with  $3^{rd}$  person object have [[VERB STEM]-OBJECT-SUBJECT] ordering (8b).

'my house's...'

.

(8) Alutiiq (Berge forthcoming-a)

see-IND-DU-1SG 'I see them (DU)'

a.	Intransitive verb	ABS noun (unpossessed)
	iteʁ-tu-k	əŋlu-k
	enter-IND-DU	house-DU
	'they (DU) are entering'	'houses (DU)'
b.	Transitive verb (V-O-S)	
	taŋĸ-a-ɣ-ka	

In dependent moods, all intransitive verbs

- have relative inflection (compare independent (6), (8a), and dependent (9a-b))
- · differentiate between 3<sup>rd</sup> and 3C persons (compare (9b) and (10a-b))
- do not reverse the affix ordering with 3<sup>rd</sup> person objects (compare (8b) and (10b)).
- have special 3<sup>rd</sup> person object morphemes in Yupik/Inuit SG -yu (11a) PL -ki (11b) (Unangam Tunuu -(y)ka(-) / -(y)ki(-) are cognate, but not restricted to dependent clauses, see 4.3.4)

These inflectional patterns are crucial in determining the dependent status of clauses, at least in Yupik/Inuit; the inflectional patterns are summarized in Table 3.

(9)	Aluti	iq (Berge <i>forthcoming</i> -a)					
	a.	Dependent intransitive verb cf.	1sg rel noun				
		ріѕив-ŋа-та	อŋlu-ı	na			
		hunt-ANT-1SG	house	e-1SG.POSS.REL			
		'when I am hunting/when I hunted'	'my h	nouse's'			
	b.	Dependent intransitive verb cf.	3sg.i	REL noun			
		arjaya-u-ŋa-n	əŋlu-o	an			
		girl-cop-ant-3sg	house	e-3sg.poss.rel			
		'when she was a girl'	'his h	iouse'			
(10)	Aluti	iq (Berge <i>forthcoming</i> -a)					
	a.	3C.SBJ dependent intransitive verb	cf.	3C.REL noun			
		iteʁ-ŋa-mi		əŋlu-mi			
		enter-ANT-3C.SG		house-3C.SG.POSS.REL			
		'when he himself entered'		'his own house'			
	b.	3C.SBJ dependent transitive verb	cf.	1 <sup>st</sup> and 2 <sup>nd</sup> person forms (V-S-O)			
		niitſ-a-mi-ki		tаŋәв-ŋa-m-ken			
		hear-ANT-3C-3PL.OBJ		see-ANT-1SG-2SG			
		'when/because he himself heard then	'when I saw you'				
	c. 3 <sup>rd</sup>	c. 3 <sup>rd</sup> person subject dependent transitive verb					
		niitſ-a-ki					
		hear-ANT-[3/]3PL.OBJ (3 <sup>rd</sup> person	subjec	t not indicated)			
		'when/because he heard them'					

- (11) Alutiiq (Berge forthcoming-a)
  - a. 3sg.object
    - *tаŋэв-ŋa-m-ku (< -yu)* see-ANT-1SG-3SG.OBJ 'when I saw him'
  - b. 3PL.OBJECT taŋəʁ-ŋa-m-ki see-ANT-1SG-3PL.OBJ 'when I saw them'

Clausal dependence	Grammatical person (Pronominal inflection)	Inflectional pattern	
	Intransitive verbs		
	1, 2	SUBJECT = absolutive possessive	(cf. 6)
	3	SUBJECT = simple number	(cf. 8a)
Independent	Transitive verbs		
1	1, 2 (V-S-O)	SUBJECT = relative possessive OBJECT = absolutive possessive	(cf. 7)
	3 (V-O-S)	SUBJECT = absolutive possessive OBJECT = simple number	(cf. 8b)
	Intransitive verbs		
	all persons (incl. 3C)	SUBJECT = relative possessive	(cf. 9a-b)
	Transitive verbs		
Dependent	1, 2, 3c	SUBJECT = relative or locative possessive OBJECT = absolutive possessive	(cf. 10a-b)
	3 (V-S-O)	SUBJECT = relative or locative possessive OBJECT = 3OBJECT morpheme (- <i>yu, -ki</i> )	(cf. 10c, 11a-b)

Table 3. Summary of independent vs dependent pronominal inflection strategies on verbs.

# 4. Innovation in the EA Mood System

In section 3.2, I listed three sets of moods: fully inherited moods, moods that were incipient in PEA but developed secondarily after the languages split, and moods that are unique to a particular language group. A few innovations are based on the inherited indicative (4.1).

Innovations based on the secondarily developed moods have generally replaced rather than created moods (except in Unangam Tunuu), but they are presented for the sake of completeness in (4.2). The inherited dependent moods gave rise to families of moods in Yupik/Inuit languages, with the anterior being the basis for the Yupik connective moods, and the conjunctive the basis for the Inuit contemporative moods. Additionally, some innovations result from the grammaticization of derivational suffixes either in combination with existing moods or as new moods themselves, e.g. contemporatives in Yupik and Inuit. These are discussed in (4.3); I include Unangan optative-based moods there, as a language-specific development, although the discussion properly belongs in (4.2).

# 4.1 Innovations based on the independent indicative mood

The only fully independent mood inherited from PEA is the indicative mood. In both Inuit and Unangam Tunuu, it gave rise to new subordinate clause types. In Inuit, this occurred via the grammaticization of a new morphological form on the main (indicative) clause and the retention of the older form on the participial mood, lending support to claims regarding the conservative nature of non-main clauses (Bybee 2002, Klein-Andreu 1990). In Unangam Tunuu, however, the reverse is true: the indicative mood is conservative, and new dependent clauses are formed via the grammaticization of relative and locative morphology on indicative verbs.

The Inuit indicative and participial moods are morphologically closely related. In the western and presumed more conservative dialects, the indicative mood morpheme is -(t/t)u- or -(j/t)u- and the participial -(t/t)ua- or -(j/t)ua-, in addition to which there is a discourse final -(v/p)u-form. In the eastern dialects, the indicative mood is -(v/p)u- and the participial -(j/t)u- (12). (Mood initial consonant alternations are phonologically conditioned by a preceding stem-final vowel or consonant; this is illustrated with the indicative, but also applies to the participial.)

(12)	Alaskan Inui	t (MacLean 1986),	West Greenlandic Inuit (H	Berge 2011)
		-V# + Indicative	-C# + Indicative	Participial
	Alask. Inuit	піві-ги-ђа	niʁi-niaq-tu-ŋa	піві-гиа-ђа
	WGr. Inuit	піві-vu-ŋa	піві-łав-ри-ŋа	піві-ји-ђа
		eat-IND-1SG	eat-NEAR.FUT-IND-1SG	eat-PART-1SG
		'I am eating'	'I am going to eat'	'I ate'

Bergsland (1956) and Rasmussen (1979) reconstruct two separate forms and assume the labial-initial morpheme was lost in Yupik, whereas Fortescue *et al.* (2010: 489) reconstruct a Proto-Yupik/Inuit intransitive indicative/participial mood \*-ðu-. It is the indicative mood marker in the language family, but doubles as a participial only in Inuit. Proto-Yupik/Inuit \*-ðu- is likely the original indicative; with nominalizing \*-B, it was reanalyzed as a participial (4.2). -(v/p)u- appears to be an Inuit innovation that has replaced the inherited indicative in the east (contra earlier assumptions about the reverse process, Bourquin 1891, Hammerich 1936, Bergsland 1989) and later spread to the interrogative mood. Miller (1976) explains it as a result of recent epenthesis and reanalysis.

Unangam Tunuu has developed subordinate constructions from an indicative verb and cliticized case inflection; these constructions replace existing dependent moods. Although they are not yet grammaticized, the process is relatively advanced in the Eastern dialects. A common construction involves an indicative verb + enclitic dative particle =  $(\eta)aan$  instead of a verb headed by the anterior mood. Likewise, there are multiple markers for indicating dubitative or counterfactual semantics for indirectness on indicative verbs, including a derivational suffix *-amusu*-'maybe', an optative-based dubitative mood *-VV* $\chi$ ta- (4.3.4), and relative inflection *-m* rather than simple number inflection singular *-* $\chi$  (plural *-n*) on 3<sup>rd</sup> person forms of the indicative verb. The latter creates a relationship of dependency with the main clause, despite the indicative mood. Both the dative and relative enclitics are illustrated in (13).

(13) โ	(13) Unangam Tunuu (Berge 2016a: 294)							
	Kum	ayal-ku-ʁ = aan	ula-m(in)	u-xt-amusu-ku-m				
	CF	be.late-IND-3SG = $DAT^{10}$	house-2SG.REL	go.to/reach-PRF-maybe-IND-REL				
	$maga\chi$ -tf $\chi$ i- $\chi$ u-umin = ulux							
	be.bad-CAUS-COND-2SG = NEG							
	'Would it be alright if we come late to your house?' (lit. 'Maybe when we are late							
	reach	ing your house, if it would	d not be bad')					

These examples support claims that independent clauses are innovative, and dependent clauses are conservative. However, they are a distinct minority among the innovative clause types in EA.

# 4.2 Innovations based on the secondary moods

The participial, interrogative, and imperative/optative moods are shared by all EA languages, but they fully developed after the language family split. They share a lack of categorical definition: their inflections come from both the independent and dependent paradigms; the respective mood morphemes are different across the languages and between dialects; and the moods lack stability. This lack of stability and definition have led to rapid development and even replacement, as described below.

The participial is derived from nominalizing morphology that attaches directly to the stem. The intransitive participial is formed from the nominalizers *-laq* in Yupik languages and Sirenikski, *-(y)/tuq* alone or in combination with the passive participle *-(y)aq* in Inuit,<sup>11</sup> and *-na*, *-(y)ka* (>*-qa*) in Unangam Tunuu, summarized in Table 4; most have a final uvular, a Yupik/Inuit nominalizing element.<sup>12</sup> The participial is associated with the expression of past tense or perfective aspect in most languages.

LANGUAGE	NOMINALIZER	MEANING	NOMINALIZING FUNCTION
Inuit, Sirenikski	-tu-q	ʻone who V's'	present participle
Yupik, Alaskan Inuit	- <del>l</del> ə-q	ʻone who has V'd'	past participle
EA	-nə-q (> Unangax̂ -na)	'act of V'ing'	present participle
EA	$-k\partial$ - (> Unanga $\hat{x}$ -( $\gamma$ ) $ka$ )	'someone or something V'd'	passive participle

 Table 4. Nominalizing morphemes that gave rise to the participial moods in the various EA languages.

The degree to which the participial functions as a dependent mood varies between languages. In Central Alaskan Yup'ik, it is preferentially an independent mood, especially in narrative discourse; in other languages, less so (Berge 2017). It heads dependent clauses, such as appositional, relative (14), or object (15) clauses in all languages. The intransitive participial has independent mood person inflection (3SG -q instead of dependent inflection 3SG -n or 3C.SG -ni) (14), but like dependent moods, distinguishes between 3 and 3C in transitive clauses (15), and takes specifically dependent negation in all languages but Inuit (16).

- (14) Alaskan Inuit (Lanz 2010: 90)
   Putu aŋuta-u-ru-q umia-qaq-tua-q<sup>13</sup>
   Putu.ABS.SG young.man-COP-IND-3SG boat-have-PART-3SG
   'Putu is a man who has a boat.'
- (15) older West Greenlandic Inuit (Berge 2002: 144; -yini '3C.SUBJ/3OBJ.PART', cf. -yaani 3SUBJ/3C.OBJ.PART)
  taanna Maliit aamma taku-yini
  that.one.SG.ABS Maliit.SG.ABS and see-3C.SG.SUBJ/3PL.OBJ.PART
  unnik-pu-q
  say-IND-3SG
  'that Maliit also said she saw them'

(16)	Unangam Tunuu (Bergsland 1997: 90)		
	negative indicative	negative participial	
	uku-laka-ŋ	uku-qa-ŋ = ulax	
	find-NEG.IND-1SG/3SG.AN	find-part.an-1sg/3sg.an = neg.par	
	'I didn't find it'	'I didn't find it'	

The use of 3C transitive forms has declined in both Yupik and Inuit (Berge 2002, Berge 2017, Miyaoka 2012); in Greenlandic, the participial was subsequently reanalyzed as indicating switch reference in narrative discourse and in embedded object clauses (Berge 2002: 151), in opposition to the conjunctive mood (associated with subject coreference between clauses). In Central Siberian Yupik, the 'participial oblique' mood has developed from a combination of *jau-lui*- 'would-PART' as an alternative to the dependent anterior mood (17) (Jacobson 2006). In both these cases, the participial is expanding or changing its use from existing dependent contexts.

(17) Central Siberian Yupik participial oblique (Jacobson 2006: 140)
 *Μιεjaχa-u-ε aqsa-lŋu-yalεi-m* vomit-IND-3SG stomach.ache-have-PART.OBL-3C.SG
 'He vomited because he had a stomachache.'

The interrogative and optative in Yupik/Inuit share features that suggest common development after the split with Unangam Tunuu. They are morphologically irregular, with splits in both mood and person inflection, syncretism, paradigmatic gaps, and distributional restrictions. For example, the respective Yupik/Inuit languages have one interrogative mood allomorph for 1st and 2nd persons (Y -tsi-, I -vi), and another for  $3^{rd}$  person (Y -( $\gamma/t$ )a, I - $\nu a$ , cf. Table 2).<sup>14</sup> In the transitive paradigm, 3<sup>rd</sup> person objects are indexed with the dependent object morphemes SG -(Y)u- PL -ki (18). In Yupik languages, the interrogative is distributionally restricted: some question types are only expressed via the indicative (19); transitive interrogatives are rare, with not all forms being attested; and 2SUBJECTS are frequently unmarked (18). Similar observations can be made of the imperative/optative in Yupik/Inuit. The conjunctive mood frequently replaces imperative/optative forms in normal conversation, an act of insubordination as a means of softening command forms and being polite (Dorais 1988, Leer 1990, Mithun 2016, Berge 2016) (20a-b):

 (18) Alutiiq interrogative (Leer 1978: 236; 2SG SUBJECT unmarked) *fakutfi-t* atanə-t asik-ław-tfi-ki? what.kind-PL dry.fish.from.skeleton-PL like-INT-[2SG/]3PL.OBJ 'What is your favorite kind of [dry fish made from fish skeleton]?'

- (19) Alutiiq indicative + interrogative enclitic (Leer 1990: 219) Niit-as -pə-ŋa = qaa hear-IND-2SG-1SG = Q
  'Do you hear me?'
- (20) Insubordination
  - Eastern Canadian Inuit (Dorais 1988: 64) *Qaujima-ŋŋin-na-ma*  know-NEG-CAUS-1SG '(Because) I don't know'
     Central Alaskan Yup'ik (Mithun 2008: 89)
  - *Kitaki quja-na <u>kol</u>-aĸ-lu-tən* well be.thankful=EXCL call-LINK-CONJ-2SG 'Well then, thank you for calling.' (in answer to a question)

In Unangam Tunuu, there is no separate interrogative mood. The conjunctive *-lix* normally does not take person inflection (21); however, its function has been extended to head questions (22). In this function only, it is found with independent pronominal inflection (23). The Unangam Tunuu optative is addressed in section 3.2.4.

(21)	Unangam Tunuu (Berge	2016b: 56)	
	Conjunctive cf.	Indicative	
	Paavila-χ awa-lix	Paavila- <u>y</u> awa-ku- <u>y</u>	
	Paul-ABS.SG work-CONJ	Paul-ABS.SG work-IND-38	SG
	'Paul, working'	'Paul works / is working	,
(22)	Unangaâ conjunctive (Berge 2016b: 41)		
	Paavila-χ alquta-χ	ma-lix?	
	Paul-ABS.SG what-ABS.SG	G do-CONJ	
	'What is Paul doing?'		
(23)	Unangaâ conjunctive + pronominal clitic (Berge 2016b: 41)		
	Alquta- $\chi$ ma-l = txin?		
	what-ABS.SG do-CONJ=2	2SG	

'What are you doing?'

To summarize, the secondary moods manifest both independent and dependent features and are morphologically and semantically unstable. In some cases, they are replaced by inherited dependent moods, e.g. the conjunctive for the interrogative function in Unangam Tunuu and for the imperative/optative function in Yupik/Inuit. In others, they change from one dependent structure to another, as with the replacement of the Yupik anterior with the participial observational construction in Central Siberian Yupik.

# 4.3 Innovation based on the dependent moods

Most new moods in EA languages are dependent: they function as dependent clauses in chains; they do not denote speech acts; they take relative or locative case-based pronominal inflection; they distinguish between 3 and 3C person inflection; and they take dependent 3OBJECT morphemes. These moods differ both semantically and morphologically between the major branches of EA, having developed independently, from either (i) a combination of existing derivational morphology and dependent mood morphemes, (ii) nominalizers, or (iii) derivational morphemes reanalyzed as mood morphemes.

# 4.3.1 The Yupik connective moods

The Yupik languages have developed a set of moods, collectively referred to as 'connective moods' in the literature, that head adverbial clauses in clause chains (Table 5). Despite the collective name, they have three different sources: combinations of (i) derivational suffixes and the anterior mood, (ii) nominalizers, and (iii) a semi-optional intensifier -v/pay- and a mood morpheme -ta- or the negation thereof. The resulting new moods create new possibilities for the expression of relative time between clauses. All moods have dependent relative or locative based pronominal inflection.

Mood	MEANING	INFLECTION	Alutiiq	Central Alaskan Yup'ik	Central Siberian Yupik
ANTERIOR	'when, because'	relative	-ŋа-	-(ŋ)а-	-(у)а-
contingent	'whenever'	relative	-(y)aqa-	-(y)aqa-	-(y)aqŋ(a)-
concessive	'although, even though, even if'	relative	-укаа(к)-	-ŋв(ав)-	-ука(ак)-
contemporative I	'when (in past)'	locative		-fэr-	
contemporative II	'while, while just'	locative		-(ŋ)inanəʁ-	-пэк-
precessive	'before'	relative	-(w/p)ailay-	-(v/p)ailəy-	-vayily(a)
successive	'then, after'	absolutive / relative	-(w/p)aytə-	_	_

Table 5. Yupik connective moods (data from de Reuse 1994, Jacobson 1995, Leer 1990,<br/>Miyaoka 2012, Berge forthcoming-a).

The anterior mood, indicated by the morpheme  $-\eta a$ , is inherited from PEA and functions in clause combinations as the head of a causal or temporally anterior clause in a sentence (24). In combination with

the preceding aspectual suffix *-av-* 'repeatedly', it is the source of the contingent mood; this combination is now lexicalized as *-(\gamma)aqa-* 'whenever...' (25).<sup>15</sup> It is also the basis of the concessive mood *-\etava(a)v-* 'even though', although the source of the second half of the morpheme is obscure (26).<sup>16</sup>

- (24) Central Alaskan Yup'ik anterior (Jacobson 1995: 279) *Akwauyuq tfənistə-łsu-nsi-tu-a naułu-u-ŋa-ma* yesterday visit-PFV-NEG-IND-1SG be.sick-COP-ANT-1SG 'Yesterday, I did not visit because I was sick'
- (25) Central Alaskan Yup'ik contingent (Jacobson 1995)
   *nəʁ'-aqa-ma suupa-mək aqsi-juit-u-a* eat-CONTING-1SG soup-MOD.SG be.full-never-IND-1SG
   'Whenever I eat soup, I am never full'

A combination of nominalizing suffixes and locative case gave rise to the contemporative moods, which indicate action contemporaneous with the verb of the main clause. The contemporative I -{bb- is derived from the same nominalizing suffix as the Yupik participial mood, and it specifically refers to past events, but it takes different pronominal inflection. The contemporative II -ninanau-, derived from -ninau- 'to just V' and -naq 'activity of V'ing', refers to action occurring at any time. The original nominalizations with possessed locative inflection (e.g. -a-ni '3SG.POSS-LOC') were reinterpreted as 3C dependent intransitive forms (e.g. -ani '3C.SG' (27-28), then extended to include transitive structures with dependent inflection. The locative element -ni- is found in 3C forms (29) but not in 3<sup>rd</sup> person (30). The contemporative I overlaps in meaning and function with the anterior mood (31) and the contemporative II with the conjunctive mood (32) (Jacobson 1995: 308). However, these moods also allow the expression of contemporaneous action without necessary causation or other connection between the actions (29).

) Central Alaskan Yup'ik (Jacobson 1995: 307)		
possessed locative noun	nominalized locative phrase	Contemporative I
	ənə-ni	nərə- <del>l</del> ʁ-a-ni nərə- <del>l</del> ʁ-ani
house-3C.SG.POSS.LOC	eat-NMLZ-3SG.POSS-LOC	eat-CTPI-3C.SG
'in his own house'	'in (during) his act of eating'	'when he ate'
	Central Alaskan Yup'ik (. possessed locative noun house-3C.SG.POSS.LOC 'in his own house'	Central Alaskan Yup'ik (Jacobson 1995: 307) possessed locative noun nominalized locative phrase no-ni house-3C.SG.POSS.LOC 'in his own house' eat-NMLZ-3SG.POSS-LOC 'in (during) his act of eating'

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(28) Central Alaskan Yup'ik (Jacobson 1995: 307) nominalized locative phrase Contemporative II пәв-піпапәв-а-пі nəs-ninanəs-ani eat-NMLZ-3SG.POSS-LOC eat-CTPII-3C.SG 'in (during) his activity of just eating 'while he is/was/will be eating' (29) Central Alaskan Yup'ik (Jacobson 1995: 307) transitive contemporative I, locative -ni- reinterpreted as 3C in -miniatu-ləs-mini-u miŋqəs-suutə-ka navə-\su-a use-CTPI-3C.SG-3SG break-PFV.PART-3SG/3SG sew-APL.NMLZ-1SG.POSS.ABS 'when she used my sewing machine, she broke it' atu-a-mi-u anterior cf. use-ANT-3C.SG-3SG 'because he himself used it' (30) Central Alaskan Yup'ik (Jacobson 1995: 307) transitive contemporative I without locative -ninərə-\u00e4s-atki eat-CTPI-3PL/3PL 'when they ate them' (31) Central Alaskan Yup'ik (Jacobson 1995: 308) Anterior Contemporative I пәвә-ŋа-п nərə-\u00e4s-ani eat-ANT-3SG eat-CTPI-3C.SG 'when, because he ate' 'when he ate' (32) Conjunctive Contemporative II nəĸ-lu-ni пәк-піпапәк-апі eat-CONJ-3C.SG eat-CTPII-3C.SG 'while he is/was/will be eating' while he is/was/will be eating' Finally, the Yupik languages have a precessive mood -pailay-

'before', possibly from -(w/p)ay- $\eta il(a)y$  'intensifier-lack' = 'not after' (33)<sup>17</sup> and Alutiiq has an additional related successive mood -*payta*-'then, after', from -(w/p)ay-ta- 'intensifier-mood' (34).<sup>18</sup>

- (33) Alutiiq precessive (Nanwalek dialect -(w/p)iila(y)-) (Leer 1978: 217) inaʁ-piila-mi ayau-lʁa-a. go.to.sleep-PREC-3C.SG pray-PART-3SG 'He prayed before he went to sleep.'
- (34) Alutiiq successive (Leer 1978: 269) taumi inasqus-payt-a then go.to.bed-SUCC-3SG 'then he went to bed'

# 4.3.2 Sirenikski connective (and irrealis) moods

Sirenikski had at least two innovative moods, similar in function to the Yupik connective moods. The first, *-məŋa-* 'when(ever)', is used for an action occurring at the same time as the main verb (comparable with the Yupik contingent), likely formed from a derivational morpheme and the anterior mood, and with dependent person inflection (35) (Berge *forthcoming-d*).<sup>19</sup> It also functions as a gerund (36):

- (35) Sirenikski contemporative (Menovshchikov 1964: 93)
   aftalsaχ-məŋa-n
   work-CTP-3SG
   'whenever he works...'
- (36) Sirenikski contemporative as gerund (Menovshchikov 1964: 93) *Aftalsax-məŋa-ni saxqənəpix-tə-tən* work-CTP-LOC age-IND-2SG 'Work makes you age' (lit. 'in working, you age')

The data suggest the existence of another mood -B- with dependent person inflection and encompassing some of the functions of the anterior mood and the Yupik contingent and contemporative moods (37). It may derive from the nominalizing element \*-B that gave rise to participials; I gloss it as a consequential mood (Berge forthcoming-d).

(37) Sirenikski consequential (Menovshchikov 1964: 135)
 Natən nasət-inəqə-tə-ta maŋu-sə-mta taməχ-pəna-sə-mta?
 How live-NEAR.FUT-INT-1PL sit-CONSEQ-1PL eat-NEG-CONSEQ-1PL
 'How are we going to live sitting, not eating?'

Sirenikski was also grammaticizing combinations of derivational affixes and existing moods before the last speaker died (Berge *forthcoming-d*).<sup>20</sup> These combinations have irrealis semantics, as in the desiderative *-t-usus-yu-* 'APPLICATIVE-IRREALIS-want' (38). They seem to have been common in the optative and imperative moods (39), perhaps, as with other Yupik/Inuit languages, as a polite softening strategy for commands (section 5).

(38)	Sirenikski (Menovshchikov 1964: 89)			
	1st/2nd irrealis combination	Indicative		
	Asasə-tususyu-kə-m-kən	ававэ-s-әұә-te-m-ken		
	lead-desid-part-1sg-2sg	go-APL-NEG-IND-1SG-2SG		
	'I will/want to lead you'	'I am not driving you'		

(39)	Sirenikski (Menovshchikov 1964: 95, 88)		
	30BJECT irrealis + optative	30BJECT irrealis + imperative	
	Arars-tnrn-fs-rn	Акакэ-tики-и	
	lead-desid-opt-3sg.obj	lead-desid-3sg.obj.imp	
	'[We] will/want to lead him'	'Lead him!'	

# 4.3.3 The Inuit conjunctive and contemporative moods

The Inuit dialects have created finer distinctions for the inherited conjunctive mood (as opposed to the anterior in Yupik languages), to make switch-reference and realized/unrealized events explicit. In Alaskan Inuit, new moods have also resulted from the grammaticization of derivational tense/aspect morphemes (Table 6). Since Inuit developed into different dialects within the past 500 years, there is no question about the late development of these moods (Dorais 2010).

Моор	MEANING(S)	SUBCATEGORIES	MORPHEME
Conjunctive <sup>21</sup>	simultaneous, sequential, adverbial	realized aspect, same subject	-vlu-
		future/unrealized, same subject	- <del>l</del> u-
		switch reference	-tit-łu-
Alaskan Inuit Contemporative I	simultaneous, separate actions	same subject	-łак-
Alaskan Inuit Contemporative II	simultaneous, perfective action	switch reference	-ŋŋак-
Alaskan Inuit Contemporative III	simultaneous, continuous action	same subject	-mmau-

Table 6. The Inuit innovative moods (data from Dorais 1988, MacLean 1986, 1995,<br/>Fortescue 1984).

Throughout Inuit, the conjunctive mood morpheme *-lu-* has split into *-lu-* for realized events and *-lu-* for unrealized events (40).

(40)	Alaskan Inuit conjunctive (Maclean 1986: 5)			
	Realized event		Unrealized event	
	aquvi <del>l</del> - <del>l</del> u-ni	atuq-tua-q	aquvil-lu-ni	atuʁ-niaq-tu-q
	sit.down-CONJ-3C.SG	sing-PART-3SG	sit.down-CONJ-3C.SG	sing-NEAR.FUT-IND-3SG
	'he sat down and sang'		'he will sit down and si	ng'

In Yupik/Inuit, the conjunctive *-lu-* indexes one argument, namely the subject of an intransitive clause or the object of a transitive clause.

In a clause chain, the indexed argument is frequently associated with the subject of the main verb, although this association is imperfect (Berge 2011). In Eastern Canadian Inuit, the conjunctive mood has combined with a causative morpheme *-tit-* to more explicitly indicate switch-reference (41), and some varieties have also recently developed a set of alternative transitive conjunctive endings that index both the subject and object (42) (Dorais 1988: 95-97).

(41)	Eastern Canadian	Inuit conjunctive (D	orais 1988: 66)	
	Same reference	Switch reference		
	niʁi-lu-ni	pisu-laŋa-ju-q	niʁi-ti-łu-yu	pisu-laŋa-ju-tit
	eat-CONJ-3C.SG	walk-FUT-IND-3SG	eat-CAUS-CONJ-3SG	walk-FUT-IND-2SG
	'While eating, he l	nimself will walk.'	'While he eats, you will w	valk'
(40) T				

) Eastern Canadian Inuit c	conjunctive (Dorais 1988: 95-97)
Single argument inflect	tion Two argument inflectior
taku-lu-nga	taku-lu-ti-nga
'see-conj-1sg'	'see-CONJ-2SG-1SG'
'while [subject] sees m	e' 'while you (SG) see me'
<i>taku-lu-nga</i> 'see-CONJ-1SG' 'while [subject] sees mo	taku-lu-ti-nga 'see-CONJ-2SG-1SG' e' 'while you (SG) see me

Alaskan Inuit has developed contemporative moods that are functionally similar to the Yupik contemporative moods, but from different sources. In Alaskan Inuit, the mood morphemes arose through the relexicalization of derivational morphemes, rather than from nominalizations as in Yupik (see (28)). These morphemes are still transparently derivational in Eastern Inuit varieties. For example, the West Greenlandic Inuit intensifier -łaʁ- (Proto-Inuit \*-łaʁ- 'narrative intensification', Fortescue *et al.* 2010: 452), stative or inchoative stative ŋŋaʁ- (Proto-Yupik/Inuit \*ŋa- 'be in the state of doing something', Fortescue *et al.* 2010: 460), and -mmaʁ-'continually' (Proto-Yupik/Inuit \*(u)mmaʁ- 'continually (while V'ing)' Fortescue *et al.* 2010: 454) are the Alaskan Inuit contemporative I, II, and III mood morphemes, respectively (43-45).<sup>22</sup> All use dependent relative endings and differentiate between 3 and 3C. These moods do not replace the conjunctive -lu-; however, the distinction between conjunctive and contemporative moods require more investigation in Alaskan Inuit.

(43) Alaskan Inuit contemporative I (MacLean 1995: 182) nisi-łas-ma eat-CTPI-1SG
'while I am eating, simultaneously...' cf. Greenlandic derivational morpheme nisi-łas-pu-ŋa eat-INTENS-IND. 1SG
'I am really eating'

- (44) Alaskan Inuit contemporative II (MacLean 1995: 182) niɛi-ŋŋaʁ-ma eat-CTPII-1SG 'while, when I am eating'
- (45) Alaskan Inuit contemporative III (MacLean 1995: 183) nisi-mmas-ma eat-CTPIII-1SG
   'while I am intermittently eating' (MacLean 1995: 182)

# 4.3.4 Optative-based moods in Unangam Tunuu

Unangam Tunuu has innovated on the basis of the optative mood for the expression of modality, specifically of ability, desire, or intention. The original optative mood marker -*VVv*- 'let...' gave rise to the intentional -*VVv*- 'intend to...', the dubitative -*VVx*ta- 'doubt that...' (with - $\chi$ ta- 'evidential'), and a gerundive -*VVv*- (distinguished from the intentional by the pronominal endings required) (46); and since the 19<sup>th</sup> century, the optative has included the morpheme -*ta*- (Bergsland 1997: 92).<sup>23</sup> The defining difference between the moods lies in their pronominal requirements: the optative takes regular enclitic pronouns also found in independent moods such as the indicative and participial in Unangam Tunuu; the innovative moods take dependent pronominal inflection. The intentional, for example takes relative inflection (47) (Table 7).

Mood	Morpheme	Subject	3 <i>vs</i> 3c distinction	OBJECT MORPHEMES
Optative	- <i>VVʁ</i> - (modern - <i>VV</i> χ <i>t</i> -) 'let'	enclitic pronouns	no	-yka- / -yki-
Dubitative	- <i>VVχta</i> - 'doubt that'	relative possessive	no	(not specified)
Gerundive	- <i>VVu</i> - modifier 'in order that'	absolutive/relative possessive	yes	-yka- / -yki-
Intentional	- <i>VVv</i> - 'intend to'	relative/locative possessive	no	-yka- / -yki-

Table 7. Unangan Optative-based moods (data from Bergsland 1997, Berge forthcoming-c).

None of these derivative moods are defective, having a full paradigmatic set of inflectional endings (note the  $2^{nd}$  person optative in (47), cf. imperative *yulaaja-ða* 'walk-2SG.IMP' = 'go walk!'). Object morphemes

 $-(\gamma)ka$ - and  $-(\gamma)ki$ - (cognate with Yupik/Inuit dependent 3OBJECT inflection  $-(\gamma)u$ - and -ki-) are found in all the moods, dependent and independent (48); Except for the gerundive (49), none distinguish between 3 and 3C.

(46)	Unangam Tunuu (Bergsland 19) Intentional (relative person infl <i>yulaaja-a-min</i> walk-INTENT-2SG 'You intend to go walking'	97: 335) ection)Optative (enclitic pronoun) $\gamma u laaja-a\chi = txin$ walk-OPT-2SG 'Go walk!'
(47)	Unangam Tunuu (Bergsland 19) Dubitative (relative person infle <i>yulaaja-axta-aŋ yulaaj</i> walk-DUB-1SG walk- 'I might go walking' 'Let m	97: 335) ection) Optative (enclitic pronoun) $a \cdot a = q i \eta$ OPT = 1SG he walk!'
(48)	Unangam Tunuu (Bergsland 19) Intentional with anaphora <i>axsaasa-a-ka-ŋan</i> (irregular 1SG understand-INTENT-3AN-1SG 'I intend to understand her'	97: 335) Optative with anaphora ) <i>aҳsaasa-a-qa-ŋ</i> understand-OPT-3AN-1SG 'Let me understand her'
(49)	Unangam Tunuu (Bergsland 19) Gerundive (with 3C) <i>yulaaja-ax-iin</i> walk-GER-3C.SG 'for herself to go walking'	97: 335) Optative (without 3C) $\gamma ulaaja-a\chi-ta-\chi$ walk-OPT-3SG-3SG (irregular) 'Let him walk!'

The choice of optative as a means of expanding expressive capabilities in Unangam Tunuu is interesting, and it is not related to dependency. These moods (like most other moods in Unangam Tunuu, with the notable exception of the anterior and conditional) can head either independent or dependent clauses. Unlike Yupik/Inuit, Unangam Tunuu does not make a clear functional distinction between independent and dependent clauses in general (Berge 2016a), and the only clearly dependent morphological features are relative pronominal inflection and 3 vs 3C. The importance of the optative mood in Unangam Tunuu may, in fact, be a result of language contact: The family of optative-based moods, unlike Yupik/Inuit but much like the once-neighboring Dene languages (cf. Rice 2000: 249), describe unrealized events as well as wishes (Berge *forthcoming*-b).

To summarize section 4, with only two clear exceptions (Unanga $\hat{x}$  indicative + clitic constructions, and Unangan optatives), innovation in the EA mood system originates from nominalizations (the participial and contemporatives), inherited dependent moods (the anterior

and conjunctive), or lexicalized combinations with derivational tense/ aspect morphemes. Clauses headed by these moods do not stand alone or have illocutionary force, unless they are used in contexts of insubordination. They have dependent morphologies, with relative or locative pronominal inflection and dependent 3<sup>rd</sup> person object inflection; and Yupik/Inuit innovative moods also distinguish between 3<sup>rd</sup> and 3<sup>c</sup> persons.

# 5. Why are EA dependent moods innovative?

Probable reasons for the predominantly dependent sources of EA innovative clauses include their relative frequency in discourse, no unremarkable processing requirements, importance in terms of information structure, and pragmatic importance.

Dependent structures in clause chains tend to be far more frequent than independent ones (Jacobson 1995, Dorais 2010, Tersis 2010, Berge 2011). In conversations, there are on average 2-4 times as many conjunctive clauses; in some narrative texts, there are 4-10 times as many conjunctive clauses, and 1-3 times as many connective mood clauses as independent clauses (1).<sup>24</sup> This frequency may explain why more Sirenikski pronominal inflectional forms are borrowed from Central Siberian Yupik in dependent moods than in independent moods (Berge 2023b). Many new moods allow speakers to refine distinctions in tense, aspect, and modality between clauses. Further, in a language family with few independent adverbs, clause chaining is the main strategy for expressing verbal modification, hence the development of the connective moods.

Interestingly, the pattern of innovation in Yupik/Inuit mirrors language acquisition and suggests that dependent structures are not more difficult to process. The moods that have most served as the basis for Yupik/Inuit mood proliferation, the anterior and conjunctive moods, are precisely those acquired early, and in more pronominal inflectional forms than the indicative and interrogative moods (Lee & Allen 2023: 91); the conditional mood is learned much later and is coincidentally not the basis of innovations. There is no evidence that Yupik/Inuit dependent clauses are more idiosyncratic, and therefore stored in memory. Although there are lexicalized stems and combinations of suffixes, a verb is structurally created at the time of speech; and, as mentioned previously (section 2), dependent pronominal inflection has a greater degree of syncretism than independent clauses.

EA dependent clauses also play an important role in information structure and discourse structure. Clause chains are larger units than typical sentences in non-chaining languages, and dependent clauses are an important mechanism for signaling topic continuation or change. They are too important to be ignored as backgrounding devices; conversely, new information and focus are not limited to main clauses (Berge 2009, 2011, 2023b).

Finally, there are sociolinguistic reasons for the importance of dependent structures. For example, indirectness is valued (Miyaoka 2012, Berge 2016a), and direct commands and questions are dispreferred, hence the use of the conditional and dubitative moods and other indications of doubt in Unangam Tunuu (13), the use of the conjunctive for interrogative functions in Unangam Tunuu (22-23) and insubordination in Yupik/Inuit (20).

In conclusion, innovation within EA clauses has historically led to the development of dependent moods from dependent structures; and dependent clauses are vastly less conservative than independent ones.

#### **Abbreviations**

ABL = ablative; ABS = absolutive; ACC = accusative; AN = anaphora; ANT = anterior; APL = applicative; C = coreferential; CAUS = causative; CF = counterfactual; CONCES = concessive; COND = conditional; CONJ = conjunctive; CONSEQ = consequential; CONTING = contingent; COP = copula; CTP = contemporative; DESID = desiderative; DU = dual; DUB = dubitative; EA = Eskaleut; EXCL = exclamatory particle; FUT = future; GER = gerundive; IMP = imperative; IND = indicative; INT = interrogative; INTENS = intensifier; INTENT = intentional; IRR = irrealis; LINK = linking morpheme; LOC = locative; MOD = modalis; NEG = negative; NMLZ = nominalizer; OBJ = object; OBL = oblique; OPT = optative; PART = participial; PEA = Proto-Eskaleut; PFV = perfective; PL = plural; POSS = possessive; PREC = precessive; PRF = perfect; PRS = present; REL = relative; SG = singular; TERM = terminalis; VIA = vialis.

# Notes

<sup>1</sup> The term 'subordination' is often used interchangeably with 'dependency' in reference to syntax, although the latter is more broadly used to refer to relations between subclausal constituents as well. The use of the term 'subordination' is sometimes applied narrowly to adverbial clauses in contrast with coordinated clauses (cf. Berge 2016a). In this paper, I focus on clausal and semantic dependency rather than syntactic coordination vs subordination. However, in section 2, I use the terms 'subordinate' and 'main' as per the source being cited.

<sup>2</sup> Open skin boat for multiple people.

<sup>3</sup> Demonstrative pronouns and quantifiers have slightly different inflectional patterns; quantifiers such as *kisiisa* 'only, alone' in (1) have nominative-accusative inflection, for example.

<sup>4</sup> EA verbs with transitive inflection typically index the subject and an object (e.g. the patient of a transitive clause, or the beneficiary of a simple ditransitive clause). In complex constructions with a embedding suffix such as Sirenikski *-saχ-* 'to say' in example (3), the object indexed is the subject of the embedded clause, and not the patient thereof (Berge forthcoming-d). The following example illustrates this with the intransitive stem *itaχ-* 'to enter':

іtәҳ-saҳ-tәqәҳ-tәв-a

enter-tell.to-PRS-IND-3SG/3SG

'he asks to come in' = 'he<sub>i</sub> asks that he<sub>i</sub> [be allowed to] come in' (Menovshchikov 1964:78)

<sup>5</sup> The dashes in the table are supposed to indicate the incompleteness of the stem.

<sup>6</sup> Fortescue *et al.* (2010: 493) view Inuit *-yi-* as a 2SG indicative *-yin-*, generalized to the imperative.

<sup>7</sup> This is word-final inflection, e.g. *awa-ða!* work-2SG.IMP 'work!'

<sup>8</sup> The UT conjunctive *-lix* is a final inflection, e.g. *awa-lix* work-CONJ 'working.' The UT interrogative mood inflection is a result of extending the use of the conjunctive; unlike the latter, however, it does take person endings, hence the notation *-lix: awa-li-txin*? work-2SG.IND 'are you working?'

<sup>9</sup> Fortescue *et al.* (2010: 489-491) reconstruct mood markers for Proto-Yupik/Inuit; however, using their tentative reconstructions is unnecessary to the discussion and would obfuscate the differences between the languages.

 $^{10}$   $\,$  The use of 3sG instead of 1PL in this example is a common Unanga $\hat{x}$  distancing strategy.

<sup>11</sup> Fortescue *et al.* (2010: 439) suggest that the Alaskan Inuit participial derives from Proto-Yupik/Inuit \*-ðuʁaʁ- 'continually' or from Proto-Inuit \*-tuqaq 'old.' I suggest instead that it derives in two stages from an original indicative that was nominalized as a participle and then reanalyzed as a verb mood: (i) \*-ðu-ʁ 'IND-NMLZ' > 'PART', (ii) \*-ðuʁ-aʁ- 'PART-PST.PRT.'

<sup>12</sup> Unangam Tunuu and Sirenikski also have a participial with no overt mood marker; I do not address this participial form here.

<sup>13</sup> In relative or appositional clauses, the 3<sup>rd</sup> person participial is ambiguously nominal; in this example, it could be glossed as *-tuaq* 'singular participle'.

<sup>14</sup> This is historically a phonologically based split, now synchronically opaque.

<sup>15</sup> Miyaoka (2012: 1392) assumes the initial morpheme is from the passive participle -*yaq*, and the second morpheme is  $-(\eta)a$ - 'anterior,' cf. Central Siberian Yupik -*yaqŋa*-. Fortescue *et al.* (2010: 438) assume the initial velar is epenthetic to break up a disallowed vowel sequence; they posit a derivation from \*-ðau-, -ðau-, 'anterior', and 'anterior', 'a

<sup>16</sup> Fortescue et al. (2010: 460, 463) derive -ŋʁa(a)ʁ- from PE \*-ŋa- 'be in the state of

doing something'; but it more directly corresponds with Yupik anterior  $-\eta a$ - mentioned but not linked to the concessive in Fortescue *et al.* (2010: 494). It may also be related to Proto-Inuit \*-jazaa- 'whenever', which has the same elements (the palatal glide is not unexpected; cf. Central Siberian Yupik -ja- *vs* Central Alaskan Yup'ik  $-\eta a$ -).

<sup>17</sup> Fortescue *et al.* (2012: 476) reconstruct PYI \*-vay- 'big' and PY \*-vayələy-'before'. I view these as related.

<sup>18</sup> There is evidence that the combination -w/pay-ta- has been recently lexicalized in Alutiiq: *taŋax*{*a-ca-aŋa* 'see-SUCC-1SG/3PL = 'when I saw them' (unpublished, collected by Irene Reed in 1961 from Nellie Gregorieff, Alaska Native Language Archive).

<sup>19</sup> Fortescue (2022: 204) sees this as related to Proto-Inuit \*-maŋa(aq)- 'whether', not found in Yupik; Fortescue *et al.* (2010: 454) also suggest a possible link with PYI \*-(u) maʁaʁ- 'continually (while V-ing)'. The correspondences and semantics are irregular.

<sup>20</sup> Menovshchikov (1964) identified the moods as a desiderative, a subjunctive, and a future indicative, although these were actually misparsed; they all involve combinations of *-juy-* 'to want' or *-usus-* 'irrealis' + an existing mood morpheme such as the indicative *-ta-*, etc.

<sup>21</sup> The conjunctive mood was called 'subordinative' in MacLean's work from the 1970's, renamed the 'contemporative I' in her (1986) grammar II, and renamed again as the 'appositional' in her (1995) dissertation. Contemporatives in the latter are numbered differently, and her identification of moods include derivational suffixes + mood combinations that are not lexicalized. I use the term 'conjunctive' for the mood morpheme *-lu-* for all EA languages. I follow her earlier numbering system for the innovative contemporative moods. Lanz (2010) and most other authors do not mention these moods.

<sup>22</sup> This mood is also found in Naukanski (Fortescue *et al.* 2010: 454), perhaps from language contact with westernmost Alaskan Inuit (Krauss 2005).

<sup>23</sup> A relatively rare prohibitive mood -*VVsana*- 'not allowed to...' is probably related to Yupik/Inuit -*jaqu(nay)* 'negative imperative' (Bergsland 1994: 476, Fortescue *et al.* 2010: 479), and borrowed from Yupik into Unangam Tunuu at a relatively late date (Berge *forthcoming*-b), which is why it is not found in dependent clauses or with dependent characteristics. There are some semantic overlaps between the optative and the prohibitive moods, and dialectal differences in their usage; for example, the negative optative tends to be used more in Eastern Unangam Tunuu, whereas the prohibitive is more often found in Atkan.

<sup>24</sup> These figures are based on a review of Unangan texts in Bergsland & Dirks (1991), Old Greenlandic Inuit in Berge *unpublished*, modern Greenlandic texts in Berge (2011), a Central Alaskan Yup'ik text in Mithun (2008), and Alutiiq texts in Leer (1990).

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